

CONDITIONS

CONDITIONS THAT MAY RAISE CONCERN

Condition 1:

ALLEGIANCE TO THE UNITED STATES (U.S.)



The following are examples of conditions that may raise concerns about allegiance to the U.S.:

- Involvement in, support of, training to commit, or advocacy of any act of sabotage, espionage, treason, terrorism, or sedition against the U.S.
- Association or sympathy with persons who are attempting to commit, or who are committing, any of the above acts.
- Association or sympathy with persons or organizations that advocate, threaten, or use force or violence, or use any other illegal or unconstitutional means, in an effort or do one of the following
 - Overthrow or influence the Government of the U.S. or any state or local Government;
 - Prevent Federal, State, or local Government personnel from performing their official duties;
 - Gain retribution for perceived wrongs caused by the Federal, State, or local Government; or
 - Prevent others from exercising their rights under the Constitution or laws of the U.S. or of any state.

Condition 2:

FOREIGN INFLUENCE



The following are examples of conditions that may raise concerns about foreign influence:

- Contact with a foreign family member, business or professional associate, friend, or the person who is a citizen of or resident in a foreign country if that contact creates a heightened risk of foreign exploitation, inducement, manipulation, pressure, or coercion.
- Connections to a foreign person, group, government, or country that create a potential conflict of interest between the individual's obligation to protect sensitive information or technology and the individual's desire to help a foreign person, group, or country by providing that information.
- Counterintelligence information, that may be classified, indicates that the individual's access to protected information may involve unacceptable risk to national security.
- Sharing living quarters with a person or persons, regardless of citizenship status, if that relation creates a heightened risk of foreign inducement, manipulation, pressure, or coercion.

Condition 3:

FOREIGN PREFERENCE

The following are examples of conditions that may raise concerns about foreign preference:

- Exercise of any right, privilege or obligation of foreign citizenship after becoming a U.S. citizen or through the foreign citizenship of a family member.
- Action to acquire or obtain recognition of a foreign citizenship by an American citizen.
- Performing or attempting to perform duties, or otherwise acting, so as to serve the interests of a foreign person, group, organization, or government in conflict with the national security interest.
- Any statement or action that shows allegiance to a country other than the U.S. (e.g., renunciation of U.S. citizenship).

Condition 4:

SEXUAL BEHAVIOR

The following are examples of conditions that may raise concerns about sexual behavior:

- Sexual behavior of a criminal nature, whether or not the individual has been prosecuted.
- A pattern of compulsive, self-destructive, or high risk sexual behavior that the person is unable to stop or that may be symptomatic of a personality disorder.
- Sexual behavior that causes an individual to be vulnerable to coercion, exploitation, or duress.
- Sexual behavior of a public nature and/or that reflects lack of discretion or judgment.

Condition 5:

PERSONAL CONDUCT

The following are examples of conditions that may raise concerns about personal conduct:

- Deliberate omission, concealment, or falsification of relevant facts from any personnel security questionnaire, personal history statement, or similar form used to conduct investigations, determine employment qualifications, award benefits or status, determine employment qualifications award benefits or status, determine security clearance eligibility or trustworthiness, or award fiduciary responsibilities.
- Deliberately providing false or misleading information concerning relevant facts to an employer, investigator, security official, competent medical authority, or other official Government representative.
- Credible adverse information in several adjudicating issue areas that are not sufficient for an adverse determination under any other single guidelines, but which, when assessment of questionable judgment, untrustworthiness, unreliability, lack of candor, unwillingness to comply with rules and regulations, or other characteristics indicating that the person may not properly safeguard protected information.
- Credible adverse information that is not explicitly covered under any other guideline and may not be sufficient by itself for an adverse determination, but which, when combined with all available information, supports a whole-person assessment of questionable judgment, untrustworthiness, unreliability, lack of candor, unwillingness to comply with rules and regulations, or other characteristics indicating that the person may not properly safeguard protected information.



Condition 6:

FINANCIAL CONSIDERATIONS

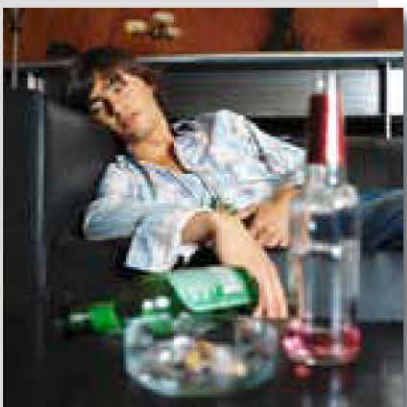


The following are examples of conditions that may raise concerns about financial considerations:

- Inability or unwillingness to satisfy debts.
- Indebtedness caused by frivolous or irresponsible spending and the absence of any evidence of willingness or intent to pay the debt or establish a realistic plan to pay the debt.
- A history of not meeting financial obligations.
- Deceptive or illegal financial practices such as embezzlement, employee theft, check fraud, income tax evasion, expense account fraud, filing deceptive loan statements, and other intentional financial breaches of trust.
- Consistent spending beyond one's means, which may be indicated by excessive indebtedness, significant negative cash flow, high debt-to-income ratio, and/or other financial analysis.
- Financial problems that are linked to drug abuse, alcoholism, gambling problems, or other issues of security concern.
- Failure to file annual Federal, state, or local income tax returns as required or the fraudulent filing of the same.
- Compulsive or addictive gambling as indicated by an unsuccessful attempt to stop gambling, "chasing losses", concealment of gambling losses, borrowing money to fund gambling or pay gambling debts, family conflict or other problems caused by gambling.
- Unexplained affluence, as shown by a lifestyle or standard of living, increase in net worth, or money transfers that cannot be explained by the individual's known legal source of income.

Condition 7:

ALCOHOL CONSUMPTION



The following are examples of conditions that may raise concerns about alcohol consumption:

- Alcohol-related incidents away from work, such as driving while under the influence, fighting, child or spouse abuse, disturbing the peace, or other incidents of concern regardless of whether the individual is diagnosed as an alcohol abuser or alcohol dependent.
- Alcohol-related incidents at work, such as reporting for work or duty in an intoxicated or impaired condition, or drinking on the job, regardless of whether the individual is diagnosed as an alcohol dependent.
- Habitual or binge consumption of alcohol to the point of impaired judgment, regardless of whether the individual is diagnosed as an alcohol abuser or alcohol dependent.
- Diagnosis by a duly qualified medical professional (e.g., physician, clinical psychologist, or psychiatrist) of alcohol abuse or alcohol dependence.
- Evaluation of alcohol abuse or alcohol dependence by a licensed clinical social worker who is a staff member of a recognized alcohol treatment program.
- Failure to follow any court order regarding alcohol education, evaluation, treatment, or abstinence.

Condition 8:

DRUG INVOLVEMENT



The following are examples of conditions that may raise concerns about drug involvement:

- Any drug abuse, (i.e., illegal use of a drug or use of a legal drug in a manner that deviates from approved medical direction.)
- Testing positive for illegal drug use.
- Illegal drug possession, including cultivation, processing, manufacture, purchase, sale, or distribution; or possession of drug paraphernalia.
- Diagnosis by a duly qualified medical professional (e.g., physician, clinical psychologist, or psychiatrist) of drug abuse or drug dependence.
- Evaluation of drug abuse or drug dependence by a licensed clinical social worker who is a staff member of a recognized drug treatment program.
- Failure to successfully complete a drug treatment program prescribed by a duly qualified medical professional.
- Any illegal drug use after being granted a security clearance.
- Expressed intent to continue illegal drug use, or failure to clearly and convincingly commit to discontinue drug use.

Condition 9:

PSYCHOLOGICAL CONDITIONS



The following are examples of conditions that may raise concerns about psychological conditions:

- Behavior that casts doubt on an individual's judgment, reliability, or trustworthiness that is not covered under any other guideline, including but not limited to emotionally unstable, irresponsible, dysfunctional, violent, paranoid or bizarre behavior.
- An opinion by a duly qualified mental health professional that the individual has a condition not covered under any other guidelines that may impair judgment, reliability, or trustworthiness.
- Failure to follow treatment advice related to a diagnosed emotional, mental or personality condition (e.g., failure to take prescribed medicine).

Condition 10:

CRIMINAL CONDUCT



The following are examples of conditions that may raise concerns about criminal conduct:

- A single serious crime or multiple lesser offenses.
- Discharge or dismissal from the Armed Forces under dishonorable conditions.
- Allegation or admission of criminal conduct, regardless of whether the person was formally charged, formally prosecuted, or convicted.
- Currently being on parole or probation.
- Violation of parole or probation, or failure to complete a court-mandated rehabilitation program.

HANDLING PROTECTED INFORMATION



The following are examples of conditions that may raise concerns about handling protected information:

- Deliberate or negligent disclosure of classified or other protected information to unauthorized persons, including but not limited to personal or business contacts, to the media, or to persons present at seminars, meetings, or conferences.
- Collecting or storing classified or other protected information at home or in any other unauthorized location.
- Loading, drafting, editing, modifying, storing, transmitting, or otherwise handling classified reports, data, or other information on any unapproved equipment including but not limited to any typewriter, word processor, or computer hardware, software, drive, system, game board, handheld, “palm” or pocket device or other adjunct equipment.
- Inappropriate efforts to obtain or view classified or other protected information outside one’s need to know.
- Copying classified or other protected information in a manner designed to conceal or remove classification or other document control markings.
- Viewing or downloading information from a secure system when the information is beyond the need-to-know.
- Failure to comply with rules for the protection of classified or other sensitive information.
- Failure to comply with rules or regulations that result in a damage to the National Security.

Condition 12:

OUTSIDE ACTIVITIES

The following are examples of conditions that may raise concerns about outside activities:

- Any employment or service, whether compensated or volunteer, with:
 - the government of a foreign country;
 - any foreign national, organization, or other entity;
 - a representative of any foreign interest, or;
 - any foreign, domestic, or international organization or person engaged in analysis, discussion, or publication of material on intelligence, defense, foreign affairs, or protected technology.
- Failure to report or fully disclose an outside activity when required.



Condition 13:

USE OF INFORMATION TECHNOLOGY SYSTEMS



The following are examples of conditions that may raise concerns about misuse of information technology systems:

- Illegal or unauthorized entry into any information technology system or component thereof.
- Illegal or unauthorized modification, destruction, manipulation or denial of access to information, software, firmware, or hardware in an information technology system.
- Use of any information technology system to gain unauthorized access to another system or to a compartmented area within the same system.
- Downloading, storing, or transmitting classified information on or to any unauthorized software, hardware, or information technology system.
- Unauthorized use of a government or other information technology system.
- Introduction, removal, or duplication of hardware, firmware, software, or media to or from any information technology system without authorization, when prohibited by rules, procedures, guidelines or regulations.
- Negligence or lax security habits in handling information technology that persist despite counseling by management.
- Any misuse of information, whether deliberate or negligent, that result in damage to the national security.

